Agency Summary

Department of Banking and Financial Institutions (BI0)

FY 2004 Recommended Baseline Budget

Fund Type	(A) Proposed 2003 Budget	(B) Agency 2004 Request	(C) OBP Baseline Scrub	(D) Agency Appeals	(E) OBP Centralized Adjustments	(F) OBP Recommended Baseline	(G)=(F)-(B) Net Baseline Adjustment
Non Personal Services	$0	$0	$0	$0	$0	$0	$0
Local Fund	$0	$0	$0	$0	$0	$0	$0
Personal Services	$1,712,299	$1,834,657	$0	$0	$0	$1,834,657	$0
Non Personal Services	$724,353	$601,995	$0	$0	$9,479	$611,474	$9,479
cial Purpose Revenue Fund	$2,436,652	$2,436,652	$0	$0	$9,479	$2,446,131	$9,479
Total for PS	$1,712,299	$1,834,657	$0	$0	$0	$1,834,657	$0
Total for NPS	$724,353	$601,995	$0	$0	$9,479	$611,474	$9,479
Gross Total	$2,436,652	$2,436,652	$0	$0	$9,479	$2,446,131	$9,479
FULL TIME EQUIVALENTS							
Local Fund	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Special Purpose Revenue Fu	27.00	27.00	0.00	0.00	0.00	27.00	0.00
TOTAL	27.00	27.00	0.00	0.00	0.00	27.00	0.00

Revised Budget Recommendation

SUMMARY:
OBP recommends an overall funding level of $2,446,131 for the Department of Banking and Financial Institutions (DBFI) in FY 2004. The major adjustments included in the OBP recommendation are outlined below:

OBP SCRUB:
There are no additional adjustments to the preliminary recommendation.

OBP CENTRALIZED ADJUSTMENTS:
The agency's budget was decreased by $6,155 for Object Class 32 (Rentals) and increased $15,634 (Telecommunications) for a total of $9,479 in Other (O-type) funds to reflect revised cost estimates provided by the Office of Financial and Resource Management (OFRM).

APPEAL:
The agency did not submit an appeal to the OBP preliminary budget recommendation.

Preliminary Budget Recommendation

PRIVATE/OTHER (O-Type)
OBP recommends an overall funding of $2,436,652 for the Department of Banking and Financial Institutions in FY 2004. This amount is unchanged from the approved FY 2003 budget. Note - the personal services budget is increased by $122,358 in FY 2004 to cover step and fringe benefit increases to fully fund all authorized FTEs. The nonpersonal services budget is reduced an offsetting amount in miscellaneous items such as travel, printing, contractual services and equipment. The FY 2004 funding level will support 27 FTEs, which is unchanged from the FY 2003 approved level. The budget is supported 100 percent by Other Funds (O-type) revenue.

Baseline and Adjustments Agency by Fund and Object Class

BI0 DEPARTMENT OF BANKING AND FINANCIAL INSTITUTIONS

Fund 0100 Local Fund

Comptroller Source Group (Object Class 2)	(A)	(B)	(C)	(D)	(E)	(F)= B+C+D+E	(G)	(H)	(I)	(J)= F+G+H+I	(K)= J-A
	FY 2003 Proposed	Agency 2004 Request	OBP Baseline Scrub	Agency Appeals	OBP Centralized Adjustments	OBP Recommended Baseline	Spending Pressures	Target Adjustment	Mayors Adjustment	Mayors Proposed Budget)	Change from FY 03
0050 Subsidies And Transfers	0	0	0	0	0	0	0	0	0	0	0
Total: **Non Personal Services**	0	0	0	0	0	0	0	0	0	0	0
Fund Total 0100 Local Fund	0	0	0	0	0	0	0	0	0	0	0

Baseline and Adjustments Agency by Fund and Object Class

BI0 DEPARTMENT OF BANKING AND FINANCIAL INSTITUTIONS

Fund 0600 Special Purpose Revenue Fund

Comptroller Source Group (Object Class 2)	(A)	(B)	(C)	(D)	(E)	(F)= B+C+D+E	(G)	(H)	(I)	(J)= F+G+H+I	(K)= J-A
	FY 2003 Proposed	Agency 2004 Request	OBP Baseline Scrub	Agency Appeals	OBP Centralized Adjustments	OBP Recommended Baseline	Spending Pressures	Target Adjustment	Mayors Adjustment	Mayors Proposed Budget)	Change from FY 03
0011 Regular Pay - Cont Full Time	771,194	726,274	0	0	0	726,274	0	0	0	726,274	-44,920
0012 Regular Pay - Other	749,576	869,087	0	0	0	869,087	0	0	0	869,087	119,511
0014 Fringe Benefits - Curr Personnel	191,529	239,296	0	0	0	239,296	0	0	0	239,296	47,767
Total: **Personal Services**	1,712,299	1,834,657	0	0	0	1,834,657	0	0	0	1,834,657	122,358
0020 Supplies And Materials	43,075	25,000	0	0	0	25,000	0	0	0	25,000	-18,075
0031 Telephone, Telegraph, Telegram, Etc	15,900	15,900	0	0	15,634	31,534	0	0	0	31,534	15,634
0032 Rentals - Land And Structures	277,651	277,651	0	0	-6,155	271,496	0	0	0	271,496	-6,155
0040 Other Services And Charges	214,272	180,349	0	0	0	180,349	0	0	0	180,349	-33,923
0041 Contractual Services - Other	108,404	65,997	0	0	0	65,997	0	0	0	65,997	-42,407
0070 Equipment & Equipment Rental	65,051	37,098	0	0	0	37,098	0	0	0	37,098	-27,953
Total: **Non Personal Services**	724,353	601,995	0	0	9,479	611,474	0	0	0	611,474	-112,879
Fund Total 0600 Special Purpose Reven	2,436,652	2,436,652	0	0	9,479	2,446,131	0	0	0	2,446,131	9,479
Total for BI0 Department of Banking and	2,436,652	2,436,652	0	0	9,479	2,446,131	0	0	0	2,446,131	9,479

Baseline and Adjustments Agency by Program, and Object Class

BI0 DEPARTMENT OF BANKING AND FINANCIAL INSTITUTIONS

Program 1000 OFFICE OF BANKS AND FINANCIAL INST. (CC)

Comptroller Source Group (Object Class 2)	(A)	(B)	(C)	(D)	(E)	(F)= B+C+D+E	(G)	(H)	(I)	(J)= F+G+H+I	(K)= J-A
	FY 2003 Proposed	Agency 2004 Request	OBP Baseline Scrub	Agency Appeals	OBP Centralized Adjustments	OBP Recommended Baseline	Spending Pressures	Target Adjustment	Mayors Adjustment	Mayors Proposed Budget)	Change from FY 03
0011 Regular Pay - Cont Full Time	771,194	601,875	0	0	0	601,875	0	0	0	601,875	-169,319
0012 Regular Pay - Other	749,576	276,243	0	0	0	276,243	0	0	0	276,243	-473,333
0014 Fringe Benefits - Curr Personnel	191,529	131,711	0	0	0	131,711	0	0	0	131,711	-59,818
Total: **Personal Services**	1,712,299	1,009,829	0	0	0	1,009,829	0	0	0	1,009,829	-702,470
0020 Supplies And Materials	43,075	25,000	0	0	0	25,000	0	0	0	25,000	-18,075
0031 Telephone, Telegraph, Telegram, Etc	15,900	15,900	0	0	15,634	31,534	0	0	0	31,534	15,634
0032 Rentals - Land And Structures	277,651	277,651	0	0	-6,155	271,496	0	0	0	271,496	-6,155
0040 Other Services And Charges	214,272	118,654	0	0	0	118,654	0	0	0	118,654	-95,618
0041 Contractual Services - Other	108,404	29,997	0	0	0	29,997	0	0	0	29,997	-78,407
0050 Subsidies And Transfers	0	0	0	0	0	0	0	0	0	0	0
0070 Equipment & Equipment Rental	65,051	34,198	0	0	0	34,198	0	0	0	34,198	-30,853
Total: **Non Personal Services**	724,353	501,400	0	0	9,479	510,879	0	0	0	510,879	-213,474
Program 1000 OFFICE OF BANKS AND FIN	2,436,652	1,511,229	0	0	9,479	1,520,708	0	0	0	1,520,708	-915,944

Baseline and Adjustments Agency by Program, and Object Class

BI0 DEPARTMENT OF BANKING AND FINANCIAL INSTITUTIONS

Program 2000 FINANCIAL EDUCATION & OUTREACH

Comptroller Source Group (Object Class 2)	(A)	(B)	(C)	(D)	(E)	(F)= B+C+D+E	(G)	(H)	(I)	(J)= F+G+H+I	(K)= J-A
	FY 2003 Proposed	Agency 2004 Request	OBP Baseline Scrub	Agency Appeals	OBP Centralized Adjustments	OBP Recommended Baseline	Spending Pressures	Target Adjustment	Mayors Adjustment	Mayors Proposed Budget)	Change from FY 03
0011 Regular Pay - Cont Full Time	0	47,520	0	0	0	47,520	0	0	0	47,520	47,520
0012 Regular Pay - Other	0	47,089	0	0	0	47,089	0	0	0	47,089	47,089
0014 Fringe Benefits - Curr Personnel	0	14,191	0	0	0	14,191	0	0	0	14,191	14,191
Total: **Personal Services**	0	108,800	0	0	0	108,800	0	0	0	108,800	108,800
0040 Other Services And Charges	0	26,237	0	0	0	26,237	0	0	0	26,237	26,237
0070 Equipment & Equipment Rental	0	500	0	0	0	500	0	0	0	500	500
Total: **Non Personal Services**	0	26,737	0	0	0	26,737	0	0	0	26,737	26,737
Program 2000 FINANCIAL EDUCATION & O	0	135,537	0	0	0	135,537	0	0	0	135,537	135,537

Baseline and Adjustments Agency by Program, and Object Class

BI0 DEPARTMENT OF BANKING AND FINANCIAL INSTITUTIONS

Program 3000 COMMUNITY BASED LENDING & ANALYSIS

Comptroller Source Group (Object Class 2)	(A)	(B)	(C)	(D)	(E)	(F)= B+C+D+E	(G)	(H)	(I)	(J)= F+G+H+I	(K)= J-A
	FY 2003 Proposed	Agency 2004 Request	OBP Baseline Scrub	Agency Appeals	OBP Centralized Adjustments	OBP Recommended Baseline	Spending Pressures	Target Adjustment	Mayors Adjustment	Mayors Proposed Budget)	Change from FY 03
0011 Regular Pay - Cont Full Time	0	50,009	0	0	0	50,009	0	0	0	50,009	50,009
0012 Regular Pay - Other	0	128,187	0	0	0	128,187	0	0	0	128,187	128,187
0014 Fringe Benefits - Curr Personnel	0	26,729	0	0	0	26,729	0	0	0	26,729	26,729
Total: **Personal Services**	0	204,925	0	0	0	204,925	0	0	0	204,925	204,925
0040 Other Services And Charges	0	10,879	0	0	0	10,879	0	0	0	10,879	10,879
0070 Equipment & Equipment Rental	0	2,400	0	0	0	2,400	0	0	0	2,400	2,400
Total: **Non Personal Services**	0	13,279	0	0	0	13,279	0	0	0	13,279	13,279
Program 3000 COMMUNITY BASED LENDIN	0	218,204	0	0	0	218,204	0	0	0	218,204	218,204

Baseline and Adjustments Agency by Program, and Object Class

BI0 DEPARTMENT OF BANKING AND FINANCIAL INSTITUTIONS

Program 4000 SAFETY & SOUNDNESS

Comptroller Source Group (Object Class 2)	(A)	(B)	(C)	(D)	(E)	(F)= B+C+D+E	(G)	(H)	(I)	(J)= F+G+H+I	(K)= J-A
	FY 2003 Proposed	Agency 2004 Request	OBP Baseline Scrub	Agency Appeals	OBP Centralized Adjustments	OBP Recommended Baseline	Spending Pressures	Target Adjustment	Mayors Adjustment	Mayors Proposed Budget)	Change from FY 03
0011 Regular Pay - Cont Full Time	0	26,870	0	0	0	26,870	0	0	0	26,870	26,870
0012 Regular Pay - Other	0	417,568	0	0	0	417,568	0	0	0	417,568	417,568
0014 Fringe Benefits - Curr Personnel	0	66,665	0	0	0	66,665	0	0	0	66,665	66,665
Total: **Personal Services**	0	511,103	0	0	0	511,103	0	0	0	511,103	511,103
0040 Other Services And Charges	0	24,579	0	0	0	24,579	0	0	0	24,579	24,579
0041 Contractual Services - Other	0	36,000	0	0	0	36,000	0	0	0	36,000	36,000
Total: **Non Personal Services**	0	60,579	0	0	0	60,579	0	0	0	60,579	60,579
Program 4000 SAFETY & SOUNDNESS	0	571,682	0	0	0	571,682	0	0	0	571,682	571,682
Total Department of Banking and Financial I	2,436,652	2,436,652	0	0	9,479	2,446,131	0	0	0	2,446,131	9,479